

10/53470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
JC06 Rec'd PCT/PTO 13 MAY 2005

Applicant(s): **KAWAI ET AL.**) Conf. No.: _____

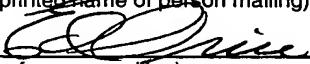
Appn No.: **Not Yet Assigned**) _____

Filed: **Herewith**) **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

For: **OPTICAL MODULE AND**
METHOD FOR MONITORING
AND CONTROLLING
WAVELENGTH) "Express Mail" Mailing Label Number
EV 513605052 US

Group Art) Date of Deposit **MAY 13 2005**

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Examiner: **Not Yet Assigned**) **Ed Price**
(Typed or printed name of person mailing)


Docket No.: **85367**) (Signature of person mailing) _____

Cust. No.: **22242**) _____

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, applicant and the undersigned attorney wish to bring the following information to the Examiner's attention in connection with the examination of the above-captioned application.

The documents are listed on PTO/SB/08A Substitute for Form PTO-1449 which accompanies this Information Disclosure Statement. A copy of each foreign document and each non-patent literature document cited thereon is enclosed herewith.

U.S. PATENT DOCUMENTS		
Document Number	Publication Date	Name of Patentee or Applicant of Cited Document
Number-Kind Code ²	MM-DD-YYYY	
US-6,353,623 B1	03/05/2002	Munks et al.

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FOREIGN PATENT DOCUMENTS		
Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
JP 01-235390	09/02/1989	Fujitsu Ltd.
JP 09-214038	08/15/1997	Fujitsu Ltd.
JP 2000-323785	11/24/2000	Nec Corp.
JP 2001-196689	07/19/2001	Lucent Technol Inc.
JP 2003-283044	10/03/2003	Mitsubishi Electric Corp.

NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

NASU, H.; MIKAIHARA, T; TAKAGI, T.; OIKE, M.; NOMURA, T. and KASUKAWA, A., 25GHz-spacing wavelength monitor integrated DFB laser module, for DWDM Applications, IEEE Photonics Technology Letters, Vol. 15, No. 2, February 2003, pages 293-295.

CHUNG, Y. C. and STULZ, L. W., Synchronized Etalon Filters for Standardizing WDM Transmitter Laser Wavelengths, IEEE Photonics Technology Letters, Vol. 5, No. 2, February 1993, pages 186-189.

TAKAGI, TOMOHIRO; NASU, HIDEYUKI; MUKAIHARA, TOSHIKAZU; NOMURA, TAKEHIKO; KASUKAWA, AKIHIKO; OIKE, MIZUKI; MATSUURA, HIROSHI; SHIBA, TAKAAI; and NINOMIYA, TAKAO, 25GHz-spacing wavelength monitor integrated DFB laser module, Institute of Electronics, Information and Communication Engineers, C-4-44, 2002.

REMARKS

Pursuant to 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

It is respectfully requested that the Examiner consider the above materials and make them of record in the above-captioned application.

The Commissioner is hereby authorized to charge any fees which may be required in this application under 37 C.F.R. § 1.16-1.17 to Deposit Account No. 06-1135.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

Dated: 5/13/05

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PTO/SB/08a Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	Not Yet Assigned
				Filing Date	Herewith 10/534770
				First Named Inventor	Kawai et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket	85367

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ²			
		US-6,353,623 B1	03/05/2002	Munks et al.	

FOREIGN PATENT DOCUMENTS					
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		Country Code ³ -Number ⁴ -Kind Code ⁵			
		JP 01-235390	09/02/1989	Fujitsu Ltd.	
		JP 09-214038	08/15/1997	Fujitsu Ltd.	X
		JP 2000-323785	11/24/2000	Nec Corp.	X
		JP 2001-196689	07/19/2001	Lucent Technol Inc.	X
		JP 2003-283044	10/03/2003	Mitsubishi Electric Corp.	X

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		T ²			
		NASU, H.; MIKAIHARA, T; TAKAGI, T.; OIKE, M.; NOMURA, T. and KASUKAWA, A., 25GHz-spacing wavelength monitor integrated DFB laser module, for DWDM Applications, IEEE Photonics Technology Letters, Vol. 15, No. 2, February 2003, pages 293-295.			
		CHUNG, Y. C. and STULZ, L. W., Synchronized Etalon Filters for Standardizing WDM Transmitter Laser Wavelengths, IEEE Photonics Technology Letters, Vol. 5, No. 2, February 1993, pages 186-189.			
		TAKAGI, TOMOHIRO; NASU, HIDEYUKI; MUKAIHARA, TOSHIKAZU; NOMURA, TAKEHIKO; KASUKAWA, AKIHIKO; OIKE, MIZUKI; MATSUURA, HIROSHI; SHIBA, TAKAAI; and NINOMIYA, TAKAO, 25GHz-spacing wavelength monitor integrated DFB laser module, Institute of Electronics, Information and Communication Engineers, C-4-44, 2002.			

Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.